

The Rathbone Multi-Asset Portfolios ISA/NISA Application Form (tax year 2019/2020, S-Class shares only)

Type of investment (please indicate which you are making):

- New application (use part 1 of this form)
- Transfers from other Managers (use part 1 of this form and the ISA/NISA Transfer Authority)
- Top-up (addition to current holding; use part 1 of this form)
- Switches between funds or share types (Income or Accumulation; use part 2 of this form)

If a top-up or a fund to switch, please tell us your current Rathbone Unit Trust Management account number:

For your own benefit and protection, you must make sure that you have read the appropriate **Key Investor Information Document** and the **Supplementary Information Document** for the fund(s) into which you are investing, topping-up or switching, before signing this application form. If you do not understand any part of the documents, please ask for further information or where appropriate, please consult your investment adviser.

Please note, this application form is for clients investing in a 2019/2020 Stocks and Shares ISA with Rathbones.

Please complete this section in full using BLOCK CAPITALS

Applicant details

Title (Mr/Mrs/Miss/Ms/Other) _____

Surname _____

Forename(s) in full _____

Permanent residential address _____

Postcode _____

Daytime telephone number _____

(will only be used in case of queries)

Date of birth

Do you have a National Insurance number? Yes

Not allocated

If yes, please enter

If you do not know it, see your P60, notice of coding or tax return. Otherwise your employer or tax office may be able to help. If you receive a pension, you can find the number on the front of your pension book. We may not be able to process your application without it.

To speed processing, if you already have an account, please fill in your

Account number _____

Important notes

Data protection – Where relevant, Rathbones' privacy notice for clients, together with our relevant terms of business, sets out how your personal data (as further detailed in the privacy notice) shall be processed by Rathbones. A copy of the privacy notice is available on request or on Rathbones' website.

From time to time Rathbone Unit Trust Management Limited may wish to communicate with you with information on other products and services offered by the Rathbone group. However, you should note that where you have applied for a Rathbones unit trust through an adviser, we may disclose information concerning your investments in these products to that adviser.

If you do not wish to be contacted by Rathbone companies, please advise us in writing at the following address:
Data Protection Officer, Rathbone Unit Trust Management Limited, 8 Finsbury Circus, London EC2M 7AZ.

Money laundering – To comply with money laundering regulations, we may require you to supply evidence of identity and address and/or may check these details against any database (public or other) to which we have access. We will obtain a record if such an enquiry is made.

Rathbone Unit Trust Management

S-Class shares

Contact us 020 7399 0399 rutm@rathbones.com

Rathbones
Look forward

Part 1: (for new S-Class applications, top ups and transfers)

Essential note: for transfers, please complete the ISA transfer authority (separate form)

Please indicate your choice of fund(s) and amount to be invested (for transfers, please state percentage in each fund; to sum to 100% in total)

Rathbones ISA/NISA investment details – tax year 2019/2020 (for fund switches, please see part 2)

| | Share type* | | Amount/additional amount to be invested | |
|-------------------------------------|--------------------------|--------------------------|---|------------|
| | Inc | Acc | Lump sum | |
| Rathbone Total Return Portfolio | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ | or _____ % |
| Rathbone Strategic Growth Portfolio | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ | or _____ % |
| Rathbone Strategic Income Portfolio | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ | or _____ % |
| Rathbone Enhanced Growth Portfolio | | <input type="checkbox"/> | £ _____ | or _____ % |

Notes: The total 2019/2020 lump sum should not exceed £20,000 (minimum £1,000 lump sum) and your whole NISA allowance of £20,000 can be invested in either cash, stocks and shares, or any combination of the two. Please also pay heed to this overall limit if you are investing in other of our ISA products in the 2019/2020 tax year. Please make cheques payable to Rathbone Unit Trust Management Limited.

If you require your income paid out (Income shares) please complete the dividend mandate overleaf to pay direct to your bank account.

*Share types are as follows:

Inc = Income shares, income paid out;

Acc = Accumulation shares (see the

Supplementary Information Document).

Total amount/additional amount to be invested: £ _____ or 100% as a lump sum.

Please see the additional information for our ISA/NISA within the Supplementary Information Document.

Part 2: (for switching between S-Class shares (different funds) and/or between share types (same fund))

Rathbones ISA/NISA investment details for fund switches (for new applications and transfers, please see part 1 and for top-ups, please see part 2)

| Fund from: | Share type* | | Fund to: | Share type* | | Amount to be switched Lump sum |
|------------|--------------------------|--------------------------|----------|--------------------------|--------------------------|-----------------------------------|
| | Inc | Acc | | Inc | Acc | |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |
| _____ | <input type="checkbox"/> | <input type="checkbox"/> | _____ | <input type="checkbox"/> | <input type="checkbox"/> | £ _____ |

I/We wish to switch: £ _____ as indicated above.

Notes: Please indicate your choice of fund(s), share type and amount to be switched. If you require your income paid out (Income shares), and have not completed one before, please complete the dividend mandate to pay direct to your bank account. *Share types are as follows: Inc = Income shares, income paid out; Acc = Accumulation shares (see the Supplementary Information Document), please tick the boxes as appropriate. Rathbone Enhanced Growth Portfolio, only accumulation shares available.

Please ensure that you sign and date the Stocks & Shares ISA/NISA Declaration

Declaration and authority

I declare that I am 18 years of age or over and that I am resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Rathbone Unit Trust Management Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties. All subscriptions made, and to be made, belong to me. I have not subscribed and will not subscribe to another ISA/NISA or more than the overall subscription limit in total to a cash ISA/NISA, a stocks and shares ISA/NISA and (from 6 April 2019), or an innovative finance ISA/NISA (which Rathbone Unit Trust Management does not offer), from another provider in the same tax year.

I confirm agreement to all client money arrangements and procedures including the Delivery Versus Payment exemption provisions (and those relating to commercial settlement exemption provisions where appropriate) as detailed in part 5 of the Supplementary Information Document (SID).

I authorise Rathbone Unit Trust Management Limited to hold my cash subscription, ISA/NISA investments interest, dividends and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims to relief from tax in respect of ISA/NISA investments. On my written request to transfer or pay to me, as the case may be, ISA/ NISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash. I agree to the ISA/NISA terms and conditions. I declare that this application form has been completed to the best of my knowledge and belief.

I have read the appropriate Key Investor Information Document(s) and Supplementary Information Document

Signature

Date

Part 3: (your bank/building society details to receive sale proceeds and/or income payments)

Please complete this section if you want income payments (income units) or sale proceeds arising from your investments to be paid into your bank or building society account. Please note that income cannot be paid if the investment is in accumulation units.

We will pay the proceeds of a sale to your bank/building society account; please list details of which below. If you prefer to receive a cheque, please tick here

Bank/building society name _____ Bank or building society sort code

Address _____ Account holder's name(s) _____

_____ Account number

_____ Postcode _____ Building society roll number _____

When completed, this form should be returned to your adviser or direct to our dealing office (Rathbone Unit Trust Management Limited, PO Box 9948, Chelmsford CM99 2AG). For further information please see the Supplementary Information Document

Copies of the Prospectus, the latest Key Investor Information Document (KIID), the Supplementary Information Document (SID), and the latest Manager's report and accounts for this fund are available on request from us, free of charge.

Rathbone Unit Trust Management Limited

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Authorised and regulated by the
Financial Conduct Authority

A member of the
Investment Association

A member of the Rathbone Group.
Registered No. 02376568