Rathbones
Look forward

Bespoke New Client Pack Checklist for Individual/Joint Portfolios as either a Taxable, ISA, SIPP or Offshore Bond

Documents for Completion and Return	**Doc Code**
Client application pack – for intermediated business	(RIM CA071)
Schedule of Charges	
ISA Transfer Authority Form	
Non-ISA Portfolio Transfer Authority Form	
Bond or pension policyholder supplementary agreement – for intermediated business *(where applicable)*	(RIM CA078)
Self Invested Personal Pension (SIPP) supplementary agreement – for intermediated business *(where applicable)*	(RIM CA077)

Documents for Retention by the Client

Guide to Application Form Completion

Terms of Business including:

- Basic Information About the Protection of Your Eligible Deposits
- Conflicts of Interest Policy
- Dealing & Best Execution Policy
- Protecting Your Personal Data

European Consumer Credit Information

Our Investment Strategies

Schedule of Interest Rates

Understanding Investment Risk & Return *(Duck Egg Blue Version)* (RIM INF031)

SIPP Asset Allocation Guidelines