

Supplemental agreement for existing individual clients to open additional Funds – for clients of the Managed Portfolio Service (MPS)

Please read and complete this form before signing page 6 and returning it to the MPS team.

This form should only be completed if there have been no material changes to the information which you have provided to Rathbones either originally or subsequently and you agree that the Fund(s) opened within this form should be managed under your existing Client Agreement and Profile and Terms of Business. You will be requested to confirm this on page 6 of this form.

Should this NOT be the case, please discuss this with the MPS team.

This document is part of a suite of documents which make up our Agreement with you. These documents consist of the following:

Agreement documents

- Agreement (this document)
- Schedule of Charges
- Schedule of Interest Rates
- Contractual Pack, including
 - Terms of Business
 - Conflicts of Interest Policy
 - Dealing and Best Execution Policy
- Basic Information About the Protection of your Eligible Deposits
- European Consumer Credit Information

If any document is missing, please request it from the MPS team as you will need to confirm receipt of all of them later in this document.

If there is anything you do not understand, or you have any questions, please contact the MPS team before you sign and return this document.

If you would prefer, the MPS team will be pleased to help you complete it.

For the attention of

The Managed Portfolio Service

at Rathbones

If you require help with completing this application form please contact the MPS team

Telephone: 0844 748 0029

Email: MPS@Rathbones.com

Client identification

Please complete in black ink and block capitals.

To identify you as an existing client we require some information from you.

Client identification

Title

☐ Mr

☐ Mrs

☐ Ms

☐ Miss

☐ Other (please specify)

Surname

Forename(s)

Date of birth

Permanent residential address

Postcode

Selecting the strategy and receipt of income

Risk profiles and investment objectives for the six MPS strategies are provided below to help you select the strategy(ies) closest to your objectives. Please refer to the strategy factsheets and MPS brochure for further details on the strategies and the service. Please refer to the fund prospectus and Key Investor Information Documents (KIID) for details of the RMAP funds.

Strategy

- If you select accumulation shares, income is reinvested into the Fund and is reflected in the share price so there is no payment to be made.
- If you select income shares, the income received is paid away to the bank account specified by you in this Agreement.

For each account you wish to open (minimum £15,000 investment per account), please provide the value of the investment and the year you wish to subscribe to if opening an ISA. If you wish to purchase income shares, please tick the box for each account required. If you do not tick the box, we will purchase accumulation shares for you. Please note that if you invest in either the Balanced or Equity strategies we may need to periodically rebalance your Portfolio to maintain the risk profile you have chosen. You will be responsible for accounting for any tax consequences resulting from rebalancing transactions.

Cautious strategy

Rathbones risk profile 2/6

This strategy is applicable where the investor has a relatively low risk tolerance. We expect reasonable fluctuations in value, but at a lower level than for major equity markets. Investors are probably concerned about the possibility of losing money and this strategy can be suitable for investors with a shorter time horizon.

Investment:

This strategy is 100% invested in the RMAP Total Return fund. The Fund has a target total return in excess of 2% above sterling six month LIBOR over a minimum three years, and a target risk level of one third the volatility of global equities as measured by the MSCI World Equity Index.



	Main account	ISA
Investment	£ <input type="text"/>	£ <input type="text"/>
I wish to purchase Income shares	<input type="checkbox"/>	<input type="checkbox"/>
Tax year you wish to subscribe to		20 / 20

For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:

Maximum allowable subscription ☐ Or £

Balanced strategy

Rathbones risk profile 3/6

This strategy is applicable where the investor has a medium risk tolerance. We expect fluctuations in the value of the investments and expect these to reflect most of the performance of major equity markets. The strategy may therefore include a substantial exposure to shares, and can be suitable for investors with a medium time horizon who can tolerate a temporary or, rarely, permanent capital loss.

Investment:

This strategy is invested in a combination of the RMAP Total Return fund and the RMAP Strategic Growth fund. The strategy therefore has a combined target total return of 2% above the Consumer Price Index (CPI) over a minimum five years, and a combined target risk level of one half of the volatility of global equities as measured by the MSCI World Equity Index.



	Main account	ISA
Investment	£ <input type="text"/>	£ <input type="text"/>
I wish to purchase Income shares	<input type="checkbox"/>	<input type="checkbox"/>
Tax year you wish to subscribe to		20 / 20

For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:

Maximum allowable subscription ☐ Or £

Selecting the strategy and receipt of income – continued

Balanced Plus strategy

Rathbones risk profile 4/6

This strategy is applicable where the investor has a medium risk tolerance. We expect fluctuations in the value of the investments and expect these to reflect much of the performance of major equity markets. The strategy may therefore include a significant exposure to shares, and can be suitable for investors with a medium time horizon and who can tolerate a temporary or, sometimes, permanent capital loss.

Investment:

This strategy is 100% invested in the RMAP Strategic Growth fund. The Fund has a target total return of between 3% and 5% above the Consumer Price Index (CPI) over a minimum of five years, and a target risk level of two thirds of the volatility of global equities as measured by the MSCI World Equity Index.



	Main account	ISA
Investment	<input type="text" value="£"/>	<input type="text" value="£"/>
I wish to purchase Income shares	<input type="checkbox"/>	<input type="checkbox"/>
Tax year you wish to subscribe to		20 /20
For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:		
Maximum allowable subscription	<input type="checkbox"/> Or <input type="text" value="£"/>	

Income strategy

Rathbones risk profile 4/6

This strategy is applicable where the investor has a medium risk tolerance. We expect fluctuations in the value of the investments and expect these to reflect much of the performance of major equity markets. The strategy may therefore include a significant exposure to shares, with a bias towards income producing assets, and can be suitable for investors with a medium time horizon who can tolerate a temporary or, sometimes, permanent capital loss.

Investment:

This strategy is 100% invested in the RMAP Strategic Income fund. The Fund has a target total return of between 3% and 5% above the Consumer Price Index (CPI) over a minimum five years, and a target risk level of two thirds of the volatility of global equities as measured by the MSCI World Equity Index.



	Main account	ISA
Investment	<input type="text" value="£"/>	<input type="text" value="£"/>
I wish to purchase Income shares	<input type="checkbox"/>	<input type="checkbox"/>
Tax year you wish to subscribe to		20 /20
For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:		
Maximum allowable subscription	<input type="checkbox"/> Or <input type="text" value="£"/>	

Equity strategy

Rathbones risk profile 5/6

This strategy is applicable where the investor has a relatively high risk tolerance. We expect the value of the investments to fluctuate significantly, usually reflecting the behaviour of equity markets. The strategy will likely have a high exposure to shares and may incorporate relatively high risk investments, and can be suitable for investors with a longer time horizon and the capacity to suffer a temporary or permanent capital loss.

Investment:

This strategy is invested in a combination of the RMAP Strategic Growth fund and the RMAP Enhanced Growth fund. The strategy therefore has a combined target total return of between 4% and 5+% above the Consumer Price Index (CPI) over a minimum five years, and a combined target risk level of five sixths of the volatility of global equities as measured by the MSCI World Equity Index.



	Main account	ISA
Investment	<input type="text" value="£"/>	<input type="text" value="£"/>
Tax year you wish to subscribe to		20 /20
For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:		
Maximum allowable subscription	<input type="checkbox"/> Or <input type="text" value="£"/>	

Selecting the strategy and receipt of income – continued

Equity Plus strategy

Rathbones risk profile 6/6

This strategy is applicable where the investor has a high risk tolerance. We expect the value of the investments to fluctuate significantly and that this could more than reflect the volatility of equity markets. The strategy will likely have a higher exposure to shares and other high risk investments, and can be suitable for investors with a longer time horizon and who have the capacity to suffer a permanent capital loss.



Investment:

This strategy is 100% invested in the RMAP Enhanced Growth fund. The Fund has a target total return of 5+% above the Consumer Price Index (CPI) over a minimum five years, and a target risk level equal to the volatility of global equities as measured by the MSCI World Equity Index.

	Main account	ISA
Investment	<input type="text" value="£"/>	<input type="text" value="£"/>
Tax year you wish to subscribe to	<input type="text" value="20"/> / <input type="text" value="20"/>	
For subsequent tax years, please subscribe the following into my ISA unless instructed otherwise in writing:		
Maximum allowable subscription	<input type="checkbox"/>	Or <input type="text" value="£"/>

Fixed payments

How you receive income will depend on whether you purchase accumulation or income shares in the RMAP fund.

If you would like a fixed payment, please specify the amount and frequency below, for each Fund being opened.

	Main account	ISA
Investment	<input type="text" value="£"/>	<input type="text" value="£"/>
Payment frequency	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly

Warning: If you select a fixed amount, we may need to sell units to raise sufficient funds to meet your requirement. This may erode the capital value of the Funds. The higher the fixed amount, the more erosion of capital can occur. In addition, the sale of units may have tax consequences for which you will be responsible. If you are in any doubt you should talk to your financial adviser.

Declarations and signature

Please read the paragraphs below carefully.

Acceptance

By signing below I confirm the following:

I have received the documents making up the Rathbone Investment Management Limited Agreement as described on page 1 of this document.

I accept and agree to be bound by the terms of the Agreement including the Terms of Business and other documents as referred to in the Terms of Business which make up the Agreement.

I confirm that there are no other material changes to the information that I have provided to Rathbones either originally or subsequently. To the best of my knowledge, Rathbones has all of the information it needs to run my Portfolio and all of the Funds that comprise it under my existing agreement and Terms of Business. I confirm that I am in agreement with this.

If there is anything you do not understand, please contact your Investment Manager before you sign and return this Agreement.

Signed

Print name

Date

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Rathbone Investment Management Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.
Registered office: Port of Liverpool Building, Pier Head, Liverpool L3 1NW
Registered in England number: 01448919
VAT Registration number: GB 241 6893 49
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Rathbones
Look forward